

Table 2 Small Arms Trade Transparency Barometer 2018, covering major exporters, 2015*

Exporter	Total points	National report **/ regional report ***	UN Comtrade**	UN Register**	ATT/PoA			Total timeliness (1.50 max.)	Total access and consistency (2.00 max.)	Total clarity (5.00 max.)	Total comprehensiveness (6.50 max.)	Total deliveries (4.00 max.)	Total licences granted (4.00 max.)	Total licences refused (2.00 max.)
					ATT annual report	ATT initial report †	PoA †							
Switzerland	21.75	X	X	X	X	X	X	1.50	1.50	4.50	5.25	3.00	4.00	2.00
Netherlands	20.00	X/EU	X	X	X	X	X	1.50	2.00	4.50	6.00	3.00	2.00	1.00
United Kingdom	20.00	X/EU	X	X	X	X	X	1.50	2.00	4.50	5.00	3.50	2.50	1.00
Italy	19.50	X/EU	X	o	X	X	X	1.50	1.50	3.50	5.50	3.00	2.50	2.00
Serbia	19.00	X/SEE	X	X	X	X	X	1.50	1.50	3.50	5.50	3.50	2.50	1.00
Germany	18.50	X/EU	X	X	X	X	X	1.50	2.00	4.25	3.75	2.50	3.00	1.50
Romania	18.00	X/EU	o	X(14)	X	X	X	1.50	1.50	3.50	5.75	2.50	3.00	0.25
Belgium ^a	17.75	X/EU	X	X	X	X	o	1.50	2.00	3.75	4.00	2.50	2.00	2.00
Spain	17.50	X/EU	X	X	X	X	X	1.50	1.50	3.50	4.00	3.50	2.50	1.00
France	17.25	X/EU	X	X(14)	X	X	X	1.50	2.00	4.00	4.75	3.00	1.50	0.50
Poland	17.25	X/EU	X	X	X	X	X	1.50	1.50	3.75	4.00	3.00	1.50	2.00
Sweden	17.25	X/EU	X	X(14)	X	X	X	1.50	1.50	4.75	5.25	2.50	1.50	0.25
Portugal	16.50	X/EU	X	X	X	X	X	1.50	1.50	3.25	5.00	3.50	1.50	0.25
Austria	16.00	EU	X	X	X	X	o	1.50	1.50	2.75	4.50	3.50	2.00	0.25
United States ^b	15.75	X	X	X	o	o	X	1.50	1.75	3.50	4.00	3.00	2.00	0.00
Czech Republic	15.25	X/EU	X	X	X	X	X	1.50	1.50	2.75	4.75	3.00	1.50	0.25
Norway	15.25	X	X	X	X	X	X	1.50	1.50	3.75	4.75	3.00	0.00	0.75
Slovakia	15.25	X/EU	X	X	X*	X	X	1.50	1.50	3.25	4.50	3.00	1.50	0.00
Hungary	15.00	X/EU	X	X	X	X	X	1.50	1.50	3.00	4.25	3.00	1.50	0.25
Finland	14.50	X/EU	X	X	X	X	X	1.50	1.50	2.75	3.75	3.00	2.00	0.00
Canada	13.50	X	X	X	o	o	X	1.50	1.50	2.50	4.00	4.00	0.00	0.00
Denmark	13.50	X/EU	X	o	X	X	o	1.50	1.50	2.75	3.75	2.50	1.50	0.00
Croatia	13.25	X/EU	X	o	X	X	X	1.50	1.50	3.00	3.25	2.50	1.50	0.00
Bulgaria	13.00	X/EU	o	X	X	X	X	1.50	1.50	2.75	2.25	2.50	1.50	1.00
Australia	12.50	X	X	o	X	X	X	1.50	1.00	2.75	3.25	3.00	1.00	0.00
Japan	12.00	o	X	X	X	X	X	1.50	1.50	2.25	3.75	3.00	0.00	0.00
Mexico	11.75	o	X	X	X	X	o	1.50	1.50	2.50	3.75	2.50	0.00	0.00
South Korea ^c	11.75	X	X	o	o	X†	X	1.50	1.00	2.50	3.25	2.50	1.00	0.00
South Africa ^d	11.50	X	o	o	X	X	o	1.50	1.50	2.25	3.25	0.00	3.00	0.00
Argentina	11.00	o	X	o	X	X	X	1.50	1.00	2.50	3.00	3.00	0.00	0.00
Cyprus	11.00	o	X	X	o	X†	o	1.50	1.00	2.50	3.50	2.50	0.00	0.00
Bosnia and Herzegovina	10.25	SEE	o	X	X	X	X	1.50	0.50	2.25	2.00	2.50	1.50	0.00
India	10.25	o	X	X	o	o	X	1.50	0.50	2.50	3.25	2.50	0.00	0.00
Thailand	10.25	o	X	o	o	o	X	1.50	0.50	2.00	3.25	3.00	0.00	0.00
Taiwan ^e	10.00	X	o	o	o	o	o	1.50	1.50	1.50	2.50	3.00	0.00	0.00
Turkey ^f	9.75	X	X	o	o	o	X	1.50	1.00	2.50	2.25	2.50	0.00	0.00

Exporter	Total points	National report **/ regional report ***	UN Comtrade**	UN Register**	ATT/PoA			Total timeliness (1.50 max.)	Total access and consistency (2.00 max.)	Total clarity (5.00 max.)	Total comprehensiveness (6.50 max.)	Total deliveries (4.00 max.)	Total licences granted (4.00 max.)	Total licences refused (2.00 max.)
					ATT annual report	ATT initial report [†]	PoA [‡]							
					Peru	9.50	0							
Russian Federation	9.50	0	X	X	0	0	X	1.50	1.00	2.00	2.00	3.00	0.00	0.00
Singapore	9.25	0	X	X	0	0	X	1.50	1.00	1.75	2.00	3.00	0.00	0.00
Ukraine	9.25	X	0	X	0	0	X	1.50	1.50	1.75	2.50	2.00	0.00	0.00
Philippines	9.00	0	X	0	0	0	X	1.50	0.50	2.25	2.25	2.50	0.00	0.00
Brazil	8.75	0	X	X	0	0	X	1.50	1.00	1.75	2.00	2.50	0.00	0.00
China	8.25	0	X	X(14)	0	0	X	1.50	0.50	1.50	1.75	3.00	0.00	0.00
Pakistan	7.75	0	X	0	0	0	X	1.50	0.50	1.75	2.00	2.00	0.00	0.00
Israel	0.75	0	0	X	0	0	0	0.00	0.00	0.25	0.50	0.00	0.00	0.00
Saudi Arabia	0.50	0	0	0	0	0	X	0.00	0.00	0.50	0.00	0.00	0.00	0.00
Iran	0.00	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00
North Korea	0.00	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00
UAE	0.00	0	0	0	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Notes

The online version of the Transparency Barometer incorporates corrections that may affect country scores and rankings. For this reason, the online version rather than the printed one should be considered definitive. See Small Arms Survey (n.d.).

* Major exporters are countries that export—or are believed to export—at least USD 10 million worth of small arms, light weapons, their parts, accessories, and ammunition in a given year. The 2018 Barometer includes any state that qualified as a major exporter at least once during the 2001–15 calendar years; it assesses arms trade activities for 2015.

** X indicates that a report was issued or submitted by the cut-off date—that is, 13 months after the year in which the trade activities took place. X(year) indicates that, because a report was not issued or submitted by the cut-off date, the country was evaluated on the basis of its most recent submission, which covered activities for the year reported in brackets.

*** The Barometer assesses information provided in the following regional reporting instruments: (1) the EU's *Seventeenth Annual Report* (Council of the EU, 2016), which reflects exports of military equipment carried out by EU member states in 2015 and appears as 'EU' in the Barometer; and (2) the regional report compiled by SEESAC (SEESAC, 2017), which covers data on transfers completed in 2014 by exporters from South-eastern and Eastern Europe and appears as 'SEE' in the Barometer. The SEESAC Regional Report for arms transfers in 2015 was not available when the 2018 Barometer was finalized.

‡ The annual ATT report was submitted to the ATT Secretariat, but the country requested that the report not be made publicly available.

† The initial ATT report was submitted to the ATT Secretariat, but the country requested that the report not be made publicly available.

♦ X indicates that an initial ATT report was submitted during 2015–17.

▲ X indicates that a PoA national report was submitted during 2014–16.

Scoring system

The scoring system for the 2018 Barometer allows exporters to earn up to 25 points on the basis of 42 criteria in 7 parameters: timeliness; access and consistency; clarity; comprehensiveness; and the level of detail provided on actual deliveries, licences granted, and licences refused. For detailed scoring guidelines, see Table A.1 in Annex A1 below.

Explanatory notes

- The 2018 Barometer assesses national arms export reports that were made publicly available between 1 January 2015 and 31 January 2017. It also reflects information submitted by states to regional reporting mechanisms that were published after 31 January 2017 and before the Barometer was finalized (31 May 2018)—although the submission of this data does not receive points for timeliness.
- The 2018 Barometer takes into account national submissions to the UN Register from 1 January 2015 to 31 January 2017, as well as information submitted to UN Comtrade on 2015 exports up to and including 24 November 2016.
- The fact that the Barometer is based on multiple reporting mechanisms—international, regional, and national—works to the advantage of exporters that submit data to all of these mechanisms. While Barometer scores acknowledge the provision of information to any of the reporting mechanisms, the same information is not credited twice.

State-specific notes

^a In addition to the national report issued by the Belgian federal government, each Belgian region (Brussels, Flanders, and Wallonia) reports separately on its arms exports.

^b For the purposes of the Barometer, the US national report refers to the State Department report issued pursuant to Section 655 of the Foreign Assistance Act on direct commercial sales, as well as the report on foreign military sales, which is prepared by the US Department of Defense (US DoD, 2015; US DoS, 2016). For the first time, the 2018 Barometer assesses information on foreign trade provided via USA Trade Online (US CB, n.d.).

^c The Transparency Barometer 2018 assesses South Korea's national arms export report for the first time, although according to the Stockholm International Peace Research Institute (SIPRI) database on national reports on arms exports, this report is the second edition (SIPRI, n.d.). The national report is produced by the Defence Acquisition Program Administration and provides information on export authorizations.

^d In the evaluation of South Africa's national report the term 'conveyance' is interpreted to mean transit in accordance with the definition provided in the National Conventional Arms Control Act (South Africa, 2002, art. 1(vii)).

^e Data on Taiwan was retrieved from the Directorate General of the Customs Administration of Taiwan's Ministry of Finance (Taiwan, n.d.).

^f SIPRI's database on national reports on arms export indicates that the Defence Industry Manufacturers Association publishes an annual report on Turkey's arms exports (SIPRI, n.d.). The Barometer does not consider this report in assessing the country's transparency because it is not produced by a government agency, and thus is not representative of national reporting practices.