

# **ONLINE ANNEXES**

## **Small Arms Survey Working Paper 9**

### **National Implementation of the United Nations Small Arms Programme of Action and the International Tracing Instrument: An Analysis of Reporting in 2009–10**

**Interim Version, June 2010**

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#### **List of abbreviations**

MFA	Ministry of Foreign Affairs
MOD	Ministry of Defence
MOI	Ministry of the Interior
n/a	not applicable or not available

### Annexe 3 **Small Arms Survey questionnaire sent to NPCs**

The email sent to NPCs posed the following questions:

1. In what year was the NPC established?
2. When did you become the NPC?
3. How many NPCs have there been?
4. Which government department hosts the NPC?
5. If more than one government department is involved, which one has the leading/coordinating role?
6. Has the affiliation of the NPC changed over time?
7. Is there a **National Coordinating Agency** or **National Commission on Small Arms** in your country?
8. If so, when was it established?
9. Which government departments are involved/represented in the NCA?
10. Is civil society represented in the NCA?
11. If so, which civil society organizations participate in the NCA?
12. Does the country have a **National Action Plan** (that is, a document setting out the country's strategy for small arms control and management)?
13. If so, could you please send me a copy by email or weblink?

#### Annexe 4 Responses to the questionnaire regarding NPCs

Country	Year NPC established	Year respondent became NPC?	Government department that hosts the NPC
Austria	2002	2009	MFA
Belgium	2001	2003	MFA
Bosnia and Herzegovina	2003	2007	MFA
Bulgaria	2002	n/a	MFA: Security Policy Directorate
Burundi	2003	2006	Ministry of Public Security
Chile	n/a	2008	MOD
China	2001	2001	MFA: Department of Arms Control and Disarmament
Colombia	(following up)		
Congo, Democratic Republic of the	2004	2008	Ministry of Interior and Security leads + MFA, MOD & Veterans Affairs and 22 other ministries (or Departments)
Côte d'Ivoire	2009	2009	Prime Minister's office
Cyprus	2001	2005	MFA
Czech Republic	2001	n/a	MFA
Denmark	n/a	2008	MFA: Department of Security Policy
Estonia	2005	2009	MFA
Finland	n/a	2009	MFA: Arms Control Unit
France	2001	2008	Ministry of Foreign and European Affairs
Gambia	Not specified	2008	MOI leading + MOD, Ministry of Justice, MFA, Ministry of Trade, Armed Forces, Police Force, Revenue Authority/Customs, West Africa Network of Peacebuilding (WANEP)
Germany	Not specified	2009	MFA
Hungary	Not specified	2010	MFA: Department for Security Policy and Non-proliferation
India	2002–03	n/a	MFA: Joint Secretary, Disarmament and International Security Affairs Division
Iraq	2005	2007	MOI
Ireland	2002	n/a	MFA leading with customs, police, and others helping
Jamaica	2006	Not specified	Ministry of National Security
Japan	2005	2005	MFA
Latvia	2003	2006	MFA
Liberia	2006	2008	MFA: Liberia National Commission on Small Arms (LiNCSA)
Liechtenstein	2003	2006	MFA
Mali	(following up)		
Mexico	(following up)		
Mozambique	2001	2001	MOI
Netherlands	n/a	n/a	MFA
Panama	2007	2010	MFA and Ministry of Government and Justice
Peru	2003	2010	MFA: Undersecretary for Multilateral Affairs
Poland	(following up)		
Romania	2001	2007	MFA + OSCE, Asymmetrical Risks and Nonproliferation Department (DORAN)
Russian	2003	2003	MFA: Department of Security and Disarmament, Department of

Federation			Military and Technical Cooperation
Slovakia	2006	2006	MFA
Slovenia	n/a	2010	MFA leads with MOI, MOD, police/customs helping
Sri Lanka	2005	2005	MOD
Sweden	Not specified	n/a	MFA: Department for Disarmament and Non-Proliferation
Switzerland	2003	2003	Federal Department of Foreign Affairs (FDFA), Political Affairs Division IV (PD IV), Human Security
United Kingdom	2001	2009	Foreign and Commonwealth Office
United States	2003	2004	State Department
Uruguay	2001	n/a	MOD: Materiel and Weapons Service: an institution of the National Army

## Annexe 5 Responses to the questionnaire regarding NCAs

<b>Country</b>	
<b>Belgium</b>	
Explanation/title	Interdepartmental Coordinating Committee exists
Year est.	1999
Government dept(s)	National Magistrate export-license authorities, representatives from MFA, Foreign Trade and Development Cooperation, Ministry of Justice, Ministry of Economic Affairs, MOI, Ministry of Finance, MOD, Federal Police, Firearms Testing Bench, and experts
Civil society representation?	No
Details	n/a
<b>Bosnia and Herzegovina</b>	
Explanation/title	Coordination board exists
Year est.	2005
Government dept(s)	MFA leads, Ministry of Security, State Border Police, State Investigation and Protection Agency, Office for Cooperation with Interpol, MOD, Ministry of Foreign Trade and Economic Relations, Indirect Taxation Authority, Ministry of Internal Affairs of Republika Srpska and Ministry of Internal Affairs of the Federation of Bosnia and Herzegovina
Civil society representation?	Yes
Details	n/a
<b>Bulgaria</b>	
Explanation/title	Inter-ministerial Experts Group
Year est.	2002
Government dept(s)	MOD, MOI, Ministry of Economy, Energy and Tourism, Ministry of Justice, and Ministry of Finance
Civil society representation?	No
Details	n/a
<b>Burundi</b>	
Explanation/title	n/a
Year est.	2007
Government	Ministry of Public Security, Ministry of National Defence and Veterans Affairs, Ministry of

dept(s)	Communication, MFA
Civil society representation?	Yes
Details	Churches
<b>Congo, Democratic Republic of the</b>	
Explanation/title	National Commission for the Control of Small Arms and Light Weapons and Armed Violence Reduction
Year est.	2008
Government dept(s)	MFA and Ministries of Interior and Security; National Defence and Veterans Affairs; Transport and Communication; Foreign Trade; Small and Medium Enterprises; Environment; Budget; Finance; Economy and Industry; Planning; Social Affairs; Gender, Family and Children Services: Customs; Director-General of Migration; National Police; Interpol
Civil society representation?	Yes
Details	CAVA and RECCAL (two networks encompassing NGOs, women's organizations and human rights)
<b>Côte d'Ivoire</b>	
Explanation/title	n/a
Year est.	2009
Government dept(s)	Prime Minister's Office, MFA, MOI, MOD, Ministries of Security; Justice; Economy and Finance; Education; Forestry; Communication
Civil society representation?	Yes
Details	African Network on Small Arms in West Africa (RASLAO-CI), Amnesty International-CI, Pan African Youth Movement of Women for Peace (MOPJEF-CI), West Africa Network for Peacebuilding (WANEP-CI), Ivorian Human Rights Movement (MIDH).
<b>Gambia</b>	
Explanation/title	Transitioning from ad hoc committee to fully functional commission
Year est.	2009
Government dept(s)	n/a
Civil society representation?	Yes
Details	West Africa Network of Peacebuilding (WANEP)
<b>India</b>	
Explanation/title	Inter-Ministerial Task Force that meets regularly

Year est.	2002
Government dept(s)	Ministry of External Affairs, MOD, Ministry of Home Affairs, and National Security Council Secretariat
Civil society representation?	n/a
Details	n/a
<b>Iraq</b>	
Explanation/title	n/a
Year est.	2008
Government dept(s)	representatives of the National Police, the Ministry of Justice, and the MOD
Civil society representation?	Yes
Details	Human rights organizations and women/children's rights (none specified)
<b>Japan</b>	
Explanation/title	Commission for the Promotion of Measures to Control Firearms
Year est.	1995
Government dept(s)	Cabinet Office, National Public Safety Commission, Cabinet Secretariat, National Police Agency, Ministry of Internal Affairs and Communications, Ministry of Justice, MFA, Ministry of Finance, Fisheries Agency, Ministry of Economy, Trade and Industry, Ministry of Land, Infrastructure, Transport and Tourism, Japan Coast Guard, and Ministry of Environment
Civil society representation?	No
Details	n/a
<b>Liberia</b>	
Explanation/title	n/a
Year est.	2006
Government dept(s)	MFA, MOD National Security Agency (NSA), Ministry of Information, Ministry of Internal Affairs, Ministry of Planning and Economic Affairs, Ministry of Justice (National Police and Bureau of Immigration and Naturalization), Special Security Services, Inter-Religious Council of Liberia, and Liberia Council of Churches
Civil society representation?	Yes
Details	Liberia Action Network on Small Arms (LANSA), a consortium of more than 25 civil society organizations working on small arms issues

<b>Mali</b>	
Explanation/title	National Commission of Combat the Proliferation of Small Arms and Light Weapons (CNLPAL)
Year est.	1996
Government dept(s)	Prime Minister's Office, MFA, Department of Homeland Security, MOD, Ministry of Handicrafts and Tourism, Ministry of Finance (Customs), Ministry of Education, Department of African Integration
Civil society representation?	Yes
Details	civil society organizations occupy five seats in the commission; Association of Manufacturers of Traditional Weapons, Hunting Association, Association of Journalists for Peace, Security and Development, National Action Network against the Proliferation of Small Arms (RANCPAL), National Civil Society Coalition for Peace and the Fight against the Proliferation of Weapons (CONASCIPAL)
<b>Mozambique</b>	
Explanation/title	Comissão Interministerial para Prevenção, Combate e Erradicação do Tráfico Ilícito de Armas Ligeiras e de Pequeno Porte (COPRECAL)
Year est.	2001
Government dept(s)	Ministeries: MOI, MOD, MFA and Cooperation; Finance; Planning and Development; Justice; Education and Culture. Meets quarterly with ad hoc meetings as needed.
Civil society representation?	Yes
Details	NGOs Christian Council of Mozambique, FORMICRES and Pro Paz
<b>Panama</b>	
Explanation/title	Not currently activated
Year est.	2005
Government dept(s)	If it were active, it would have 16 government departments represented.
Civil society representation?	Yes
Details	Peace and Justice Service (SEPAJ), Association of Importers of Weapons, Ammunition, and Accessories
<b>Peru</b>	
Explanation/title	National Commission against Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives and Other Related Materials (CONATIAF)
Year est.	created in 2007, started in 2009
Government dept(s)	MFA, MOD, MOI, Ministry of Justice, Ministry of Production, Public Ministry



Civil society representation?	Not officially, but in some areas such as awareness raising
Details	n/a
<b>Romania</b>	
Explanation/title	MFA/DORAN is the coordinating structure
Year est.	n/a
Government dept(s)	General Directorate for Export Control (currently within MFA), Ministry of National Defence, Ministry of Administration and Interior, Ministry of Economy
Civil society representation?	n/a
Details	n/a
<b>Slovenia</b>	
Explanation/title	n/a
Year est.	2003
Government dept(s)	MFA, MOI, MOD, Customs Administration, Ministry of Finance, Ministry of Transport, and Slovene Intelligence and Security Agency
Civil society representation?	n/a
Details	n/a
<b>Sri Lanka</b>	
Explanation/title	n/a
Year est.	2004
Government dept(s)	MOD, MFA, Ministry of Public Administration, Attorney General's Department, Police Department, Sri Lanka Army
Civil society representation?	Yes
Details	South Asia Small Arms Net (SASA Net)
<b>Switzerland</b>	
Explanation/title	Interdepartmental Working Group on Small Arms and Light Weapons (IDAG SALW)
Year est.	2002
Government dept(s)	Federal Department of Foreign Affairs: PD IV; Political Affairs Secretariat; Swiss Agency for Development and Cooperation (South Asia Division) Federal Department of Defence, Civil Protection and Sport: Staff of the Armed Forces, International

	<p>Relations Defence</p> <p>Federal Department of Finance: Federal Customs Administration</p> <p>Federal Department of Justice and Police: Federal Office of Police, Central Office for Arms</p> <p>Federal Department of Economic Affairs: State Secretariat for Economic Affairs</p>
Civil society representation?	No
Details	Switzerland notes that it consults relevant civil society stakeholders such as the Small Arms Survey and other partners in key questions and that it finances various activities by NGOs.
<b>Uruguay</b>	
Explanation/title	Interministerial Commission for the Prevention, Combating and Eradication of Illicit Trafficking in Small Arms and Light Weapons in All Its Aspects
Year est.	2006
Government dept(s)	MFA, MOI, Ministry of Economy and Finance, MOD, Ministry of Education and Culture, Ministry of Public Health, Ministry of Livestock, Agriculture and Fisheries, and representative of the judiciary
Civil society representation?	Yes
Details	Cámara de importadores de armas y municiones del Uruguay (CIAMU), Asociación de Lucha por el Desarme Civil (ALUDEC), Instituto de Estudios Legales y Sociales del Uruguay (IELSUR)

Annex 6 **Overview of ministries and departments involved in informal consultations in countries where no formal NCA has been established**

<b>Country</b>	<b>Government departments involved in informal consultations</b>
<b>Austria</b>	Ministries of Defence, the Interior, Trade, and Foreign Affairs
<b>Cyprus</b>	MFA coordinates with Ministries of Defence, Commerce, Industry and Tourism, Justice, and Public Order
<b>Czech Republic</b>	Some level of cooperation between MFA, Ministry of Industry and Trade, MOI, MOD, Ministry of Finance, General Directorate of Customs, and the police
<b>Finland</b>	MOI, MOD, different departments within MFA, NGOs, Customs
<b>France</b>	The informal working group includes several ministries such as the Ministries of Defence, Interior, and Customs
<b>Netherlands</b>	Includes the Ministries of Defence, Economical Affairs, and Justice
<b>Russian Federation</b>	The following departments work together: Ministries of the Interior, Foreign Affairs, Federal Security Service, the General Procuracy of the Russian Federation, Federal Service for Military–Technical Cooperation, Federal Technical and Export Control, Federal Customs Service, Federal Agency for Industry
<b>Sweden</b>	The NPC convenes at least twice a year a so-called Reference Group with representatives from NGOs, Defence and police authorities and some parliamentarians (within the Swedish Parliament there is an association of parliamentarians interested in the small arms and light weapons question)
<b>United Kingdom</b>	The Foreign and Commonwealth Office coordinates with the Department for International Development, MoD, and the Department for Business Innovation and Skills
<b>United States</b>	There is no single agency, but responsibility is shared by the Departments of Defense and Homeland Security, and the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)