

Table 3
Small Arms Trade Transparency Barometer 2016, covering major exporters*

	Total (25.00 max)	National report **/ Regional report***	UN Comtrade**	UN Register**	Timeliness (1.50 max)	Access and consistency (2.00 max)	Clarity (5.00 max)	Comprehensiveness (6.50 max)	Deliveries (4.00 max)	Licences granted (4.00 max)	Licences refused (2.00 max)
Germany	19.75	X/EU	X	X	1.50	1.50	4.25	4.00	3.50	3.50	1.50
United Kingdom	19.75	X/EU	X	X	1.50	2.00	4.50	5.25	3.50	1.50	1.50
Netherlands	19.25	X/EU	X	X	1.50	2.00	4.25	5.50	2.50	2.50	1.00
Serbia	19.00	X/SEE	X	X	1.50	1.50	3.75	4.75	3.50	2.50	1.50
Switzerland	18.75	X	X	X	1.50	1.50	3.75	4.75	2.50	4.00	0.75
Romania	17.50	X/EU	-	X	1.50	2.00	3.00	3.50	2.50	3.00	2.00
Belgium ¹	16.75	X/EU	X	X	1.50	2.00	3.00	4.25	2.50	2.00	1.50
Portugal	16.75	X/EU	X	X	1.50	1.50	3.00	3.75	3.00	2.00	2.00
Poland	16.50	X/EU	X	X	1.50	1.50	3.50	4.00	2.50	1.50	2.00
Sweden	16.00	X/EU	X	X	1.50	1.50	3.75	4.50	2.50	1.50	0.75
France	15.75	X/EU	X	-	1.50	1.50	3.75	4.25	3.00	1.50	0.25
Slovakia	15.75	X/EU	X	X	1.50	1.50	2.50	3.75	3.00	1.50	2.00
Norway	15.25	X	X	X	1.50	1.50	4.25	3.75	3.50	0.00	0.75
Spain	15.25	X/EU	X	X	1.50	1.50	2.75	3.50	3.00	1.50	1.50
United States ²	15.25	X	X	X	1.50	1.50	2.75	4.50	3.00	2.00	0.00
Italy	15.00	X/EU	X	-	1.50	1.50	3.50	4.75	2.50	1.25	0.00
Finland	14.75	X/EU	X(12)	X	1.50	1.50	3.25	3.25	3.00	2.00	0.25
Croatia	13.00	X/EU/SEE	X	X	1.50	1.00	2.50	3.50	3.00	1.50	0.00
Czech Republic	12.75	X/EU	X	X	1.50	1.50	2.50	4.50	3.00	1.50	0.75
Denmark	12.75	X/EU	X	X	1.50	1.50	2.00	3.50	2.50	1.50	0.25
Bulgaria	12.50	X/EU	-	X	1.50	1.50	2.25	2.50	3.00	1.50	0.25
Australia	12.25	-	X	X	1.50	1.00	1.50	4.25	3.00	1.00	0.00

	Total (25.00 max)	National report **/ Regional report ***	UN Comtrade**	UN Register**	Timeliness (1.50 max)	Access and consistency (2.00 max)	Clarity (5.00 max)	Comprehensiveness (6.50 max)	Deliveries (4.00 max)	Licences granted (4.00 max)	Licences refused (2.00 max)
Hungary	12.00	X/EU	X	X	1.50	1.50	1.50	3.00	2.50	1.50	0.50
Canada	11.25	X	X	X(12)	1.50	1.00	2.25	3.50	3.00	0.00	0.00
South Africa ³	11.25	X	-	X	1.50	1.00	1.50	3.25	4.00	0.00	0.00
Austria	10.25	EU	X	X	1.50	0.50	1.75	2.00	3.00	1.50	0.00
Thailand	10.25	-	X	-	1.50	0.50	1.50	3.75	3.00	0.00	0.00
Russia	9.75	-	X	X	1.50	1.00	1.50	2.75	3.00	0.00	0.00
South Korea	9.75	-	X	X	1.50	1.00	1.50	3.25	2.50	0.00	0.00
Turkey	9.75	-	X	X	1.50	0.50	1.50	3.25	3.00	0.00	0.00
Japan	9.25	-	X	X	1.50	1.00	1.50	2.75	2.50	0.00	0.00
Mexico	8.75	-	X	X	1.50	1.00	1.50	2.25	2.50	0.00	0.00
Cyprus	8.25	EU	X	X	1.50	0.50	1.25	2.00	1.50	1.50	0.00
India	8.25	-	X	X(12)	1.50	0.50	1.50	2.25	2.50	0.00	0.00
Pakistan	8.25	-	X	X(12)	1.50	0.50	1.50	2.25	2.50	0.00	0.00
Philippines	8.25	-	X	-	1.50	0.50	1.50	2.25	2.50	0.00	0.00
Argentina	8.00	-	X	X	1.50	1.00	1.50	1.50	2.50	0.00	0.00
Israel	7.75	-	X	-	0.50	0.50	1.50	3.25	2.00	0.00	0.00
Taiwan ⁴	7.50	X	-	-	1.50	1.00	1.00	2.00	2.00	0.00	0.00
Brazil	7.00	-	X	X	1.50	0.50	1.00	1.50	2.50	0.00	0.00
China	7.00	-	X	X	1.50	0.50	1.00	1.50	2.50	0.00	0.00
Ukraine	6.75	X	-	X	1.50	1.50	0.75	1.00	2.00	0.00	0.00
Singapore	6.00	-	X	X(12)	1.50	0.50	1.00	1.00	2.00	0.00	0.00
Bosnia and Herzegovina	5.75	SEE	-	X	1.50	0.00	1.00	0.25	1.50	1.50	0.00
Peru	5.75	-	X	-	1.50	0.50	1.00	1.25	1.50	0.00	0.00
Iran	0.00	-	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00
North Korea	0.00	-	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Saudi Arabia	0.00	-	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00
United Arab Emirates	0.00	-	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Note: The online version of the Transparency Barometer incorporates corrections that may affect states' scores and rankings. For this reason, the online version—rather than the printed one—should be considered definitive. See Small Arms Survey (n.d.).

* Major exporters are countries that export—or are believed to export—at least USD 10 million worth of small arms, light weapons, their parts, accessories, and ammunition in a given year. The 2016 Barometer includes any country that qualified as a major exporter at least once during the 2001–13 calendar years.

** X indicates that a report was issued or submitted by the cut-off date; X(year) indicates that, as a report was not issued or submitted by the cut-off-date, the country was evaluated on the basis of its most recent submission, covering activities for the year reported in brackets.

*** The Barometer assesses information provided in the following regional reporting instruments: 1) the EU's Sixteenth Annual Report (CoEU, 2015), which reflects military exports carried out by EU member states in 2013 and appears as 'EU' in the Barometer; and 2) the SEESAC Regional Report (SEESAC, 2015), which compiles data on the 2013 trade of South-eastern and Eastern European exporters and appears as 'SEE' in the Barometer.

Scoring system

The scoring system for the 2016 Barometer is based on seven parameters: timeliness; access and consistency in reporting; clarity; comprehensiveness; and the level of detail provided on actual deliveries; licences granted; and licences refused. For more detailed information on the scoring guidelines, see Small Arms Survey (2016a).

Explanatory notes

- The 2016 Barometer is based on national and regional arms export reports that were made publicly available between 1 January 2013 and 31 January 2015. It also reflects information submitted by states to regional reporting mechanisms that were published after 31 January 2015, although the submission of this data does not receive points for timeliness.
- The 2016 Barometer takes account of national submissions to the UN Register from 1 January 2013 to 31 January 2015, as well as information states submitted to UN Comtrade on their 2013 exports up to and including 24 November 2014.
- The fact that the Barometer is based on multiple reporting mechanisms—international, regional, and national—works to the advantage of states that publish data at all levels. Barometer scores reflect the information provided to each of the reporting mechanisms. The same information is not credited twice, however.

Country-specific notes

¹ In addition to the national report issued by the Belgian federal government, each Belgian region (Brussels, Flanders, and Wallonia) reports separately on its arms exports.

² For the purposes of the Barometer, the US national report refers to the State Department report, issued pursuant to Section 655 of the Foreign Assistance Act on direct commercial sales, as well as the report on foreign military sales, which is prepared by the US Department of Defense.

³ In the evaluation of South Africa's national report, the term 'conveyance' was interpreted to mean 'transit'.

⁴ Data on Taiwan is provided by the Directorate General of the Customs Administration of Taiwan's Ministry of Finance (Taiwan, n.d.).

Source: Small Arms Survey (2016b)