

SMALL ARMS SURVEY 2011

Small Arms Trade Transparency Barometer 2011, covering major exporters*											
Country	Total (25.00 max)	Export report** / EU Annual Report***	UN Comtrade**	UN Register**	Timeliness (1.50 max)	Access and consistency (2.00 max)	Clarity (5.00 max)	Comprehensiveness (6.50 max)	Deliveries (4.00 max)	Licences granted (4.00 max)	Licences refused (2.00 max)
Switzerland	21.00	X	X	X	1.50	1.50	4.00	5.25	3.00	4.00	1.75
United Kingdom	20.00	X / EU Report	X	X	1.50	2.00	4.00	5.00	3.50	2.50	1.50
Germany	18.75	X / EU Report	X	X	1.50	1.50	3.25	4.00	3.50	3.50	1.50
Serbia	18.50	X (08)	X	X	1.50	1.00	3.25	4.75	3.50	2.50	2.00
Romania	18.00	X / EU Report	-	X	1.50	2.00	2.50	4.00	3.00	3.00	2.00
Belgium	17.75	X / EU Report	X	X	1.50	2.00	2.75	3.50	3.50	3.00	1.50
Netherlands	17.00	X / EU Report	X	X	1.50	2.00	3.50	4.00	2.50	2.50	1.00
Spain	16.50	X / EU Report	X	X	1.50	1.50	2.50	3.25	4.00	2.50	1.25
Norway	16.25	X	X	X	1.50	1.50	4.00	3.75	3.50	2.00	0.00
United States	16.25	X (08)	X	X	1.50	1.50	2.75	4.50	3.00	3.00	0.00
Italy	15.25	X / EU Report	X	X	1.50	1.50	3.25	4.50	3.00	1.50	0.00
Czech Republic	15.00	X / EU Report	X	X (08)	1.50	1.50	2.75	3.50	3.50	1.50	0.75
Poland	15.00	X / EU Report	X	X	1.50	1.50	2.25	3.75	4.00	2.00	0.00
Sweden	15.00	X / EU Report	X	X	1.50	2.00	3.25	3.75	3.00	1.50	0.00
Denmark	14.75	X / EU Report	X	X	1.50	1.50	3.50	3.25	3.00	2.00	0.00
Finland	14.00	X (08) / EU Report	X	X	1.50	1.50	3.00	3.00	3.00	2.00	0.00
France	14.00	X / EU Report	X	X (08)	1.50	1.50	3.75	2.75	3.00	1.50	0.00
Slovakia	13.50	X / EU Report	X	X	1.50	1.50	2.25	3.25	3.00	2.00	0.00
Portugal	12.75	X (08) / EU Report	X	X	1.50	1.50	2.00	2.75	3.00	2.00	0.00
Montenegro	12.50	X	X	-	1.50	0.50	2.75	4.25	1.50	2.00	0.00
Austria	12.25	X (08) / EU Report	X	X	1.50	1.50	1.75	2.50	3.50	1.50	0.00
Croatia	12.25	X	X	X (08)	1.50	1.00	2.75	4.00	3.00	0.00	0.00
Bulgaria	12.00	X / EU Report	-	X	1.50	1.50	2.00	2.00	3.00	2.00	0.00
Canada	11.75	x (06)	X	X (08)	1.50	1.00	2.25	4.00	3.00	0.00	0.00

Notes

* Major exporters are countries that export—or are believed to export—at least USD 10 million worth of small arms, light weapons, their parts, accessories, or ammunition in a given calendar year. This edition of the Transparency Barometer includes all countries that qualified as a major exporter at least once during the 2001-09 period.

** X indicates that a state submitted a report on activities in 2009. Reports provided for earlier years are indicated in parentheses.

*** The Barometer assesses information provided in the EU's 12th Annual Report, reflecting military exports by EU member states in 2009.

Scoring system

The scoring system for the Transparency Barometer provides comprehensive, nuanced, and consistent thresholds for the various categories. The Barometer's seven categories assess: timeliness as well as access and consistency in

reporting (categories i-ii), clarity and comprehensiveness (iii-iv), and the level of detail provided on actual deliveries, licences granted, and licences refused (v-vii). For more detailed information on the scoring guidelines, visit: <http://www.smallarmssurvey.org/weapons-and-markets/tools/the-transparency-barometer.html>.

Explanatory notes

Note A: The Barometer is based on each country's most recent arms export report, made publicly available between 1 January 2009 and 31 December 2010.

Note B: The Barometer takes into account information that states have submitted to UN Comtrade for their 2009 exports through 31 December 2010, and national reporting to the UN Register through 12 January 2011.

Note C: The fact that the Transparency Barometer is based on three sources—national arms export reports (including reporting to the EU Report), reporting to the UN Register, and UN customs data—works to the advantage of states that publish data in all three outlets. All information provided to the three sources is reflected in the scoring. The same information is not credited twice, however.