

Table 8.3 Small Arms Trade Transparency Barometer 2013, covering major exporters*

	Total (25.00 max)	Export report**/ EU Annual Report***	UN Comtrade	UN Register	Timeliness (1.50 max)	Access and consistency (2.00 max)	Clarity (5.00 max)	Comprehensiveness (6.50 max)	Deliveries (4.00 max)	Licences granted (4.00 max)	Licences refused (2.00 max)
Switzerland	20.75	X	X	X	1.50	1.50	4.00	5.00	3.00	4.00	1.75
Germany	20.50	X/EU Report	X	X	1.50	1.50	4.25	4.00	4.00	3.50	1.75
Romania	19.75	X/EU Report	-	X	1.50	2.00	2.75	4.50	3.50	3.50	2.00
Serbia ¹	19.75	X(10)	X	X(10)	1.50	1.50	3.75	5.00	3.50	2.50	2.00
Netherlands	19.25	X/EU Report	X	X	1.50	2.00	4.50	4.75	2.50	3.00	1.00
United Kingdom	19.25	X/EU Report	X	X	1.50	2.00	4.00	4.75	3.00	2.50	1.50
Croatia	16.75	X	X	X	1.50	1.00	3.25	3.50	3.00	3.00	1.50
Belgium	16.00	X/EU Report	X	X ^a	1.50	2.00	3.00	2.50	2.50	2.50	2.00
Italy	16.00	X/EU Report	X	-	1.50	1.50	3.25	5.00	2.50	2.00	0.25
Spain	16.00	X/EU Report	X	-	1.50	2.00	2.50	3.50	3.50	1.50	1.50
Denmark	15.50	X/ EU Report	X	X	1.50	1.50	4.25	3.25	2.50	1.50	1.00
Sweden	15.50	X/EU Report	X	X	1.50	2.00	4.00	4.00	2.50	1.50	0.00
Norway	15.00	X	X	X	1.50	1.50	3.75	3.00	3.00	2.00	0.25
United States ²	15.00	X	X	X(10) ^a	1.50	1.50	2.75	4.25	3.00	2.00	0.00
Czech Republic	14.75	X/ EU Report	X	X	1.50	1.50	2.50	3.50	3.00	2.00	0.75
Austria ³	14.25	X (10)/EU Report	X	X	1.50	1.50	2.25	3.75	3.00	2.00	0.25
Finland	14.25	X/EU Report	X	X	1.50	1.50	3.25	3.25	2.50	2.00	0.25
France	14.25	X/EU Report	X	X	1.50	1.50	4.00	3.00	2.50	1.50	0.25
Poland	14.25	X(10) /EU Report	X	X	1.50	1.00	2.25	3.75	3.00	1.50	1.25
Slovakia ⁴	14.25	X/EU Report	X	X	1.50	1.50	2.50	3.75	2.50	2.00	0.50
Hungary	13.75	X/EU Report	X	X	1.50	1.50	3.00	3.25	3.00	1.50	0.00
Bulgaria	12.00	X/EU Report	X	X	1.50	1.50	2.25	2.00	3.00	1.50	0.25
Canada	11.75	X (07 - 09)	X	X(10)	1.50	0.50	2.75	4.00	3.00	0.00	0.00
Greece	11.50	EU Report	X	X	1.50	0.50	2.00	3.25	3.00	1.00	0.25
Lithuania	11.00	EU Report	X	X	1.50	1.00	1.75	2.75	2.50	1.50	0.00
Portugal	11.00	EU Report	X	X	1.50	1.00	1.75	2.25	3.00	1.50	0.00
Australia	10.25	-	X	X	1.50	1.00	1.50	3.25	3.00	0.00	0.00
Luxembourg	10.25	EU Report	X	-	1.50	0.50	1.75	2.50	2.50	1.50	0.00

Note: The online version of the Transparency Barometer incorporates updates and corrections, and fills in reporting gaps, all of which affect states' scores as well as their rankings for current and previous years. For these reasons, the online editions—rather than the printed version—should be considered definitive. See Small Arms Survey (n.d.).

Notes

* Major exporters are countries that export—or are believed to export—at least USD 10 million worth of small arms, light weapons, their parts, accessories, and ammunition in a given year. The 2013 Barometer includes all countries that qualified as a major exporter at least once during the 2001-11 calendar years.

** X indicates that a report was issued; X(year) indicated that a report was not issued by the cut-off-date, therefore the country is evaluated on the basis of its most recent submission, covering activities in the period reported in brackets.

*** The Barometer assessed information provided in the EU's Fourteenth Annual Report (CoEU, 2012), reflecting military exports by EU member states in 2011.

Δ The country submitted data to the UN Register for its 2011 activities but its contribution was not available for analysis by the cut-off date due to delays in the release of a further addendum to the Report of the Secretary General on the United Nations Register of Conventional Arms (UNGA, 2012a; 2012b). It is therefore evaluated on the basis of its most recent submission, covering activities in 2010, when available.

Scoring system

The scoring system for the 2013 Barometer remains the same as in 2012. The Barometer's seven categories assess: timeliness, access and consistency in reporting, clarity and comprehensiveness, and the level of detail provided on actual deliveries, licences granted, and licences refused. For more detailed information on the scoring guidelines, see the Small Arms Survey website: <<http://www.smallarmssurvey.org/weapons-and-markets/tools/the-transparency-barometer.html>>

Explanatory notes

Note A: The Barometer is based on each country's most recent arms export report, made publicly available between 1 January 2011 and 31 December 2012.

Note B: The Barometer takes into account national reporting to the UN Register from 1 January 2011 to 21 January 2013 as well as information states have submitted to UN Comtrade for their 2011 exports up to 8 January 2013.

Note C: The fact that the Barometer is based on three sources—national arms export reports, reporting to the UN Register and UN customs data—works to the advantage of states that publish data in all three outlets. Information provided to each of the three sources is reflected in the scoring. The same information is not credited twice, however.

Country-specific notes

1. Serbia published a national arms export report in 2012 that was limited to data from 2010.
2. The US report is divided into several documents. For the purposes of the Barometer, the US annual report refers to the State Department report pursuant to section 655 on direct commercial sales, and the report on foreign military sales, which is prepared by the US Department of Defense.
3. Austria published a national arms export report in 2012 that was limited to data from 2010.
4. Slovakia submitted data to the UN Register for its 2011 activities but its contribution on small arms and light weapons transfers was not available by the cut-off date.

Source: Small Arms Survey (2013)